MARTIN R. GLICK* H. JOSEPH ESCHER III 2 MARLA J. MILLER HOWARD, RICE, NEMEROVSKI, CANADY, 3 ROBERTSON & FALK A Professional Corporation Three Embarcadero Center, 7th Floor San Francisco, California 94111 5 Telephone: 415/434-1600 6 *Counsel of Record 7 Of Counsel: SCOTT HOVER-SMOOT 8 Attorneys for Defendant-Appellant 9 Activision, Inc. 10 11 UNITED STATES COURT OF APPEALS HOWARD 12 RICE FOR THE FEDERAL CIRCUIT NEMEROVSKI 13 CANADY ROBERTSON & FALK 14 THE MAGNAVOX COMPANY, a corpora-No. 86-852 A Professional Corporation tion, and SANDERS ASSOCIATES, 15 ACTIVISION, INC.'S INC., a corporation, DESIGNATION OF APPENDIX 16 Plaintiffs-Appellees, Fed. R. App. P.30 17 Local Rule 12 VS. ACTIVISION, INC., a corporation, 19 Defendant-Appellant. 20 21 Appellant Activision, Inc. designates the following mate-22 rial for inclusion in its Appendix. For convenience, the following abbreviations are used in citations throughout the Designation of 24 Appendix: 25 TT Trial transcript, volume -page (June, 1985 session) 26 //

ACTIVISION, INC.'S DESIGNATION OF APPENDIX

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TT 8/ a.m.
                 Trial transcript, August , 1985, morning session
TT 8/_ p.m.
                 Trial transcript, August , 1985, afternoon
                 session
                 Deposition
Dep.
Plf's Resp. to
Def's First Set
                Plaintiff's Response to Defendant's First Set of
                 Interrogatories (Nos. 1-125), dated February, 1983
Plf's Supp.
                 Plaintiff's Supplemental Response to Defendant's
Resp.
                 Interrogatories, dated May 11, 1984
DESIGNATIONS:
Findings of Fact.
Stipulation of the Parties Regarding Undisputed Facts, dated May 1,
1985.
Plf's Third Supplemental Response to Defendant's Interrogatories,
dated September 7, 1984 at 2.
TT 1-10, lines 10-12; TT 8/13 a.m. at 153, lines 13-17.
TT 8/12 p.m. at 102, line 20 - 103, line 1; 105, line 6 - 111, line
10 (Higinbotham).
TT 8/12 p.m. at 112, line 13 - 120, line 6 (Higinbotham); TT
8/12 p.m. at 140, line 5 - 141, line 23 (Thacker); Ex. E.
TT 8/12 p.m. at 103, line 2 - 104, line 27 (Higinbotham).
Ex. BD (Spiegel patent); Ex. DQ (Examiner's discussion of Spiegel in
Baer reissue); TT 8/12 p.m. at 143, line 21 - 144, line 10
(Thacker); Mayer Dep. (5/9/84, Activision) at 34, line 9 - 39,
line 12; 43, lines 22-25; 44, lines 1-15.
Lipper Dep. (5/13/82, APF) at 97, 136-138; Mayer Dep. (5/9/84,
Activision) at 34-39, 43-44.
TT 2-4, line 4 - 2-5, line 8 (Baer).
TT 3-97, line 3 - 3-101, line 16; 3-113, line 20 - 3-115, line 9
(Baer); Ex. CA (Baer, September 1966 memo).
TT 2-16, line 25 - 2-17, line 25; 2-24, line 4 - 2-25, line 2
(Baer).
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TT 3-115, lines 17-25 (Baer); Ex. HD (Baer Disclosure Sheet) at 2.
 2
   TT 2-14, lines 8-10 (Baer).
 3
   TT 3-116, lines 8-25; 3-101, line 25 - 3-107, line 18 (Baer);
   Harrison Dep. (3/17/76, Bally) at 60.
 4
   TT 3-105, lines 14-19 (Baer).
5
   TT 3-117, lines 1-8 (Baer).
6
   TT 3-118, line 18 - 3-123, line 2 (Baer); Ex. CD (Summary of Major
7
   Games); Ex. HD.
   Ex. DA (Baer-1 patent); Ex. IU; TT 8/12 p.m. at 128, line 24 - 132,
   line 11 (Thacker).
9
   TT 3-122, lines 15-22; 3-123, lines 3-5; 4-40, lines 8-25.
10
   TT 3-124, line 23 - 3-125, line 7 (Baer).
11
   TT 3-123, line 19 - 3-124, line 21.
12
   TT 6-29, lines 5-15 (Baer).
13
   TT 3-117, line 22 - 3-118, line 17; TT 3-132, line 22 - 3-133,
14
   line 2; Ex. JL-8 (Baer).
15
   TT 3-125, line 8 - 3-126, line 1 (Baer); Ex. JL-7 (Baer).
16
   TT 3-119, line 13 - 3-122, line 12; 3-123, lines 3-5; 4-40,
   lines 8-25 (Baer); Ex. JL-6 (Baer); Ex. DU (Seligman argument for
17
   Baer-1 reissue) at 14; Ex. DX (Seligman argument for Baer-1 reissue)
   at 5.
18
   TT 3-137, line 24 - 3-138, line 23; 3-139, line 18 - 3-140, line 1
19
   (Baer); TT 5-150, line 22 - 5-151, line 2 (Ribbens).
20
   Ex. DZ (Baer Supplemental Declaration).
21
   TT 3-126, line 2 - 3-128, line 9 (Baer); Ex. CF (Rusch Notebook)
   at 95.
22
   Ex. CJ (Rusch Disclosure Sheet) at 1 (#5); Ex. HT (Rusch First
23
   Progress Report); Harrison Dep. (3/24/76, Bally) at 42-43.
24
   TT 3-127, lines 1-23 (Baer).
   TT 3-131, lines 6-16 (Baer).
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TT 3-131, lines 17-25 (Baer); Ex. JL-5 (Seligman Supp. Amendment to
   Response to Opposer's 2nd Paper).
   Ex. HD (Baer Disclosure Sheet); Ex. HT (Rusch First Report); Ex. HU
   (Status Report); Ex. HV (Sanders Stop Order); Ex. HW (Rusch Final
   Report).
   Ex. HW (Rusch Final Report) at 5.
   Ex. CJ (Rusch Disclosure Sheet) at 1.
6
   Judicial Notice: Ex. M; Ex. GY (excerpt from textbook).
7
   TT 5-7, lines 11-13.
8
   TT 7-121, line 9 - 7-122, line 2 (Thacker).
9
   Exs. CT, CU, CV, CW (excerpts from '284 file wrapper).
10
   Exs. DH, DI (excerpts from '507 file wrapper).
11
   Ex. DI (excerpt from '507 file wrapper).
12
   Ex. JL-3 at 1288-89 (Professor Kayton).
   Ex. JL-5 (Seligman).
14
   TT 4-27, line 11 - 4-28, line 1 (Baer).
15
   TT 3-123, lines 13-18 (Baer); Etlinger Dep. (4/6/76, Bally) at 38,
16
   39; Ex. HD (Baer Disclosure Sheet) at 1 (¶7); Ex. HW (August 1968
   Rusch Final Report) at 1, 5.
17
   TT 8/12 p.m. at 142, lines 3-13 (Thacker).
18
   TT 3-30, line 22 - 3-31, line 17 (Baer); TT 8/12 p.m. at 122, line
19
   12 - 123, line 8 (Higinbotham); Harrison Dep. (3/16/76, Bally) at 7,
   Q. 39 - 11, Q. 72; 40-41, Q. 261.
20
   TT 8/12 p.m. at 122, line 5 - 123, line 8 (Higinbotham); TT 8/12
21
   p.m. at 142, line 14 - 143, line 20; 144, line 25 - 145, line 6
   (Thacker).
22
   TT 8/12 p.m. at 122, line 5 - 123, line 8 (Higinbotham); TT 8/12
23
   p.m. at 142, line 14 - 143, line 20 (Thacker).
24
   TT 8/12 p.m. at 144, line 25 - 145, line 6 (Thacker).
25
   TT 4-49, line 21 - 4-50, line 15 (Russell); Ex. Q.
26
   TT 4-52, line 8 - 4-57, line 9 (Russell).
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1
             TT 4-59, line 9 - 4-61, line 4 (Russell); TT 8/13 a.m. at 166,
             line 10 - 170, line 6 (Thacker).
          2
             TT 4-62, line 15 - 4-63, line 24; 4-68, line 24 - 4-70, line 22
             (Russell); Ex. HA; Green Dep. (4/26/76, CDI) at 3, 7, 13-15.
             Lawrence Dep. (5/23/84, Activision) at 19-21, 68-75.
          5
             Lawrence Dep. (5/23/84, Activision) at 77-78, 81-83; 5/24/84 at
             14-17; TT 8/13 a.m. at 170, line 17 - 172, line 8 (Thacker).
          6
             Lawrence Dep. (5/24/84, Activision) at 23-26, 29-30, 36-37, 46-64.
          7
             Lawrence Dep. (5/24/84, Activision) at 19-22; Smith Dep. (5/29/84,
          8
             Activision) at 48-51.
          9
             Brown Dep. (6/25/76, CDI) at 12-13, 42, 46-55, 79-83; Ex. C; TT 8/13
             a.m. at 157, line 15 - 160, line 18 (Thacker).
         10
             Brown Dep. (6/25/76, CDI) at 36-39, 93-102.
         11
             Drumheller Dep. (5/31/84, Activision) at 15-21, 23-25; Mullarky Dep.
         12
             (5/27/76, CDI) at 6-11; TT 8/13 a.m. at 160, line 19 - 161, line 24
NEMEROVSKI
             (Thacker).
         13
ROBERTSON
             TT 8/13 a.m. at 162, line 16 - 163, line 20 (Thacker); Lechner Dep.
             (10/28/76, CDI) at 66, 67; Cooke Dep. (10/27/76, CDI) at 39-41.
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         15
             TT 8/13 a.m. at 162, line 16 - 164, line 24 (Thacker).
         16
             TT 8/12 p.m. at 142, lines 3-13 (Thacker).
         17
             TT 4-44, line 23 - 4-47, line 1 (Russell); TT 8/12 p.m. at 84, line
             7 - 86, line 26 (Nielsen).
         18
             TT 8/13 a.m. at 173, lines 14-26 (Thacker).
         19
             TT 4-19, lines 8-10 (Baer); Ex. JL-1 (Anderson's Opening Statement,
         20
             CDI).
         21
             TT 3-141, lines 19-25; 3-142, lines 9-20; 3-144, lines 9-22.
         22
             Ex. CP (Magnavox license support schematics); TT 4-15, 4-16 (Baer).
         23
             TT 4-15, line 7 - 4-16, line 25 (Baer).
         24
             TT 6-111, line 15 - 6-112, line 19 (Briody); TT 7-65, lines 22-25;
             7-68, lines 2-7 (Bushnell); TT 8/14 a.m. at 339, lines 5-10 (Crane)
         25
             TT 6-114, line 6 - 6-115, line 3 (Briody); TT 7-68, lines 2-7
         26
             (Bushnell); Fritsche counterdesignation at 537, 538.
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1
             TT 7-11, lines 1-4 (Levy).
          2
             Ex. IC.
          3
             TT 6-115, line 4 - 6-116, line 7 (Briody).
             TT 7-58 - 7-59, line 7 (Bushnell).
          5
             TT 7-60, line 1 - 7-63, line 13 (Bushnell).
          6
             TT 7-65, line 6 - 7-68, line 16 (Bushnell).
          7
             TT 7-69, line 12 - 7-71, line 8; 7-78, line 18 - 7-79, line 3
             (Bushnell).
          8
             TT 7-75, line 8 - 7-79, line 3 (Bushnell).
          9
             TT 7-78, lines 2-17; 7-90, lines 2-18 (Bushnell).
         10
             DM (Coleco license); DN (Atari license); TT 7-75, line 8 - 7-79,
         11
             line 3 (Bushnell).
         12
             TT 6-124, lines 1-5, 22-25; 6-125, line 21 - 6-126, line 22
NEMEROVSKI
             (Briody).
         13
ROBERTSON
             TT 7-24, lines 10-19 (Levy).
         14
A Professional Corporation
             TT 6-126, lines 5-22 (Briody); Mayer Dep. (5/9/84, Activision)
         15
             at 59, 66, 175.
         16
             TT 8/13 p.m. at 260, line 28 - 262, line 22; 292, lines 16-25
             (Lopez).
         17
             Ex. DC (Seligman).
         18
             Ex. JL-4 (Baer Canadian affidavit - May 5, 1982).
         19
             Ex. DS at 40; Ex. ID (Mayer affidavit); Ex. DY (Examiner's Statement
         20
             from file wrapper).
         21
             TT 4-19, lines 8-10 (Baer); Ex. JL-1 (Anderson's Opening Statement,
             CDI); Plf's Resp. to Def's First Set (#71).
         22
             Ex. JL-4; Ex. CJ.
         23
             TT 5-24, line 7 - 5-39, line 3 (Ribbens).
         24
             TT 6-63, line 25 - 6-65, line 9 (Ribbens).
         25
              TT 6-64, line 21 - 6-65, line 9 (Ribbens).
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TT 7-121, lines 9-19; TT 8/13 p.m. at 235, line 27 - 236, line 9
    (Thacker).
 2
    TT 6-24, lines 10-22 (Ribbens).
 3
    Ex. GT; Ex. IE.
 4
    TT 6-9, line 17 - 6-10, line 3 (Ribbens).
 5
    TT 7-118, line 21 - 7-120, line 2 (Thacker).
6
    TT 8/12 a.m. at 8, line 24 - 23, line 10; (Thacker); TT 8/12 p.m.
7
    at 86, line 10 - 87, line 9 (Nielsen).
8
   Ex. JT; Ex. HZ.
9
   TT 7-125, line 21 - 7-128, line 12; 7-131, line 13 - 7-133, line 16
    (Thacker); Ex. GW (ROM Chip photograph).
10
   TT 7-148, line 1 - 7-149, line 21 (Thacker).
11
   TT 8/14 a.m. at 342, line 9 - 343, line 21 (Crane).
12
   TT 7-153, line 3 - 7-155, line 11 (Thacker); TT 8/12 a.m. at 8, line
13
   24 - 23, line 10; 28, line 20 - 42, line 1 (Thacker).
14
   TT 8/12 a.m. at 8, line 24 - 11, line 2 (Thacker).
15
   TT 6-36, lines 5-8 (Ribbens).
16
    TT 8/12 a.m. at 22, line 25 - 23, line 10 (Thacker).
17
    TT 8/12 a.m. at 11, lines 3-17 (Thacker).
18
    TT 6-36, line 21 - 6-37, line 16 (Ribbens); TT 7-139, line 10 -
    7-140, line 16 (Thacker).
19
    TT 6-23, line 25 - 6-24, line 12; 6-37, line 21 - 6-38, line 8
20
    (Ribbens).
21
    TT 6-39, line 7 - 6-40, line 1 (Ribbens).
22
    TT 5-79, lines 1-15 (Ribbens); TT 8/13 a.m. at 211, lines 2-8
    (Thacker).
23
    TT 6-42, lines 9-15 (Ribbens); TT 8/12 a.m. at 38, line 28 - 39,
24
    line 3 (Thacker).
    TT 8/12 a.m. at 37, line 25 - 38, line 22 (Thacker); TT 8/14 a.m.
    at 338, line 26 - 340, line 2 (Crane).
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TT 8/12 a.m. at 14, line 12 - 15, line 2 (Thacker).
          2
             TT 8/14 a.m. at 357, line 21 - 359, line 7 (Crane).
          3
             TT 5-96, line 13 - 5-97, line 3; 6-24, line 23 - 6-27, line 20
              (Ribbens); TT 8/12 a.m. at 48, line 19 - 52, line 1 (Thacker).
          4
             TT 8/13 p.m. at 235, lines 4-18 (Thacker).
          5
             TT 8/12 a.m. at 39, line 4 - 42, line 1 (Thacker).
          6
             TT 8/12 a.m. at 45, line 3 - 49, line 28 (Thacker).
          7
             TT 8/12 a.m. at 43, line 9 - 45, line 2 (Thacker).
          8
             Ex. DN-1; TT 8/13 p.m. at 277, line 23 - 278, line 12 (Lehrberg).
          9
             TT 6-125, line 21 - 6-126, line 22 (Briody).
         10
             Ex. DN; Ex. DM; Ex. EI.
         11
             TT 7-131, line 13 - 7-133, line 16 (Thacker).
         12
NEMEROVSKI
             Ex. CS ('284 file wrapper) at 127, 146; Ex. CV.
         13
ROBERTSON
             Ex. CS ('284 file wrapper) at 147, 148.
         14
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             Ex. CS ('284 file wrapper) at 163.
         15
             Ex. CU (Seligman); Ex. DJ (Seligman).
         16
             Ex. DG ('507 file wrapper) at 32 (Rusch Declaration).
         17
             Ex. DF (Rusch-2 patent).
         18
             TT 8/14 a.m. at 362, line 27 - 363, line 12 (Crane); 3-97, line 3 -
         19
             3-101, line 16; 3-101, line 25 - 3-107, line 18; 3-113, line 20 -
             3-115, line 9; 3-116, lines 8-25 (Baer).
         20
             TT 8/14 a.m. at 363, line 21 - 364, line 28 (Crane); Ex. FT.
         21
             TT 8/14 a.m. at 365, lines 1-22 (Crane).
         22
             TT 8/14 a.m. at 365, line 25 - 367, line 11 (Crane); Plf's Supp.
         23
             Resp. at 12-13 (#41).
         24
             TT 8/14 a.m. at 367, lines 15-27 (Crane); Plf's Supp. Resp. at 12-13
             (#41).
         25
             TT 8/14 a.m. at 371, line 1 - 372, line 11 (Crane); TT 3-113, lines
         26
             7-19 (Baer).
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TT 8/14 a.m. at 373, line 9 - 376, line 28 (Crane).
           2
             TT 8/14 a.m. at 377, line 9 - 381, line 19 (Crane).
          3
             TT 8/14 a.m. at 382, line 15 - 385, line 25 (Crane).
             TT 8/14 a.m. at 386, line 5 - 388, line 4 (Crane); Plf's Supp. Resp.
             at 12-13 (#41).
          5
             TT 8/14 a.m. at 388, line 9 - 391, line 18 (Crane).
          6
             Plf's Answers to Interrogatory No. 39 as supplemented in February
          7
             1983, March 1984 and September 1984.
          8
             TT 8/14 a.m. at 391, line 27 - 393, line 21 (Crane).
          9
             TT 8/14 a.m. at 397, line 5 - 398, line 21 (Crane); Plf's Supp.
             Resp. at 12-13 (#41).
          10
             TT 8/14 a.m. at 394, line 4 - 397, line 4 (Crane).
         11
 HOWARD
             TT 2-29, lines 2-23 (Baer); Ex. CU (Seligman); Ex. DJ (Seligman);
         12
    RICE
             Ex. CS ('284 file wrapper).
NEMEROVSKI
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         13
             TT 6-144, line 11 - 6-146, line 6 (Levy).
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         14
             TT 8/14 a.m. at 357, line 21 - 359, line 7 (Crane).
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         15
             TT 7-8, line 5 - 7-12, line 5; Ex. IO; Ex. IP; Ex. IQ.
         16
             TT 7-26, lines 3-19 (Levy).
         17
             Plf's Third Supp. Response to Defendant's Interrogatories, dated
             September 7, 1984 (#38).
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RICE NEMEROVSKI TT 6-49, lines 21-25; 6-59, lines 1-10 (Ribbens); TT 7-19, lines 5-16 (Levy); TT 6-126, lines 5-8 (Briody).

DATED: April 8, 1986

MARTIN R. GLICK*
H. JOSEPH ESCHER III
MARLA J. MILLER
HOWARD, RICE, NEMEROVSKI, CANADY,
ROBERTSON & FALK
A Professional Corporation

By: MARTIN R. GLICK

Attorneys for Defendant-Appellant Activision, Inc.

040986/7-355905Jb

DECLARATION OF SERVICE

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I declare that I am employed in the County of San Francisco, California. I am over the age of eighteen (18) years and not a party to the within cause. My business address is Three Embarcadero Center, 7th Floor, San Francisco, CA 94111.

On April 9, 1986, I served the attached ACTIVISION, INC.'s DESIGNATION OF APPENDIX (Fed. R. App. P. 30/Local Rule 12) by placing a true copy thereof enclosed in a sealed envelope with postage thereon fully prepaid and depositing said envelope in a United States Mail Box in San Francisco, California, addressed as follows:

Theodore W. Anderson, Esq. Neuman, Williams, Anderson & Olson 77 W. Washington Street Chicago, Illinois 60602

I declare under penalty of perjury that the foregoing is true and correct and was executed at San Francisco, California on April 9, 1986.

7 Agains