

Interstate 40 and Straw Plains Pike P.O. Box 6950 Knoxville, TN 37914 Tel. (615) 521-4326

PATENT DEPARTMENT

June 6, 1984



Mr. Louis Etlinger, Escape Residence Resociates, Inc. Daniel Webster Highway, South Nashua, NH 03061

RE: Magnavox v Activision

Dear Lou,

Enclosed is Plaintiffs' Second Supplemental Response to Defendant's Interrogatories in the Activision case which have been executed by Tom Hafner as an officer of Magnavox. Jim Williams has requested that I forward the Response to you for execution by Sanders and requests that you return the original to him for filing.

Very truly yours,

Charles E. Quarton Patent Counsel

CEO/dkl

Enclosure

cc: James Williams, Esq.

NEUMAN, WILLIAMS, ANDERSON & OLSON COPY 77 WEST WASHINGTON STREET CHICAGO, ILLINOIS 60602 OOK'S OK to Mc June 1, 1984 Charles E. Quarton, Esquire N.A.P. Consumer Electronics Corp. Interstate 40 and Straw Plains Pike P. O. Box 6950 Knoxville, Tennessee 37914 Re: Magnavox v. Activision Dear Chuck: As we discussed on the telephone earlier today, enclosed is Plaintiffs' Second Supplemental Response To Defendant's Interrogatories in the Activision case. Kindly have them executed by Tom Hafner on behalf of Magnavox and forward them on to Lou Etlinger for execution by Sanders. We are requesting Lou to return the original to us so that we can see that it is appropriately filed with the Court in San Francisco. Very truly yours, NEUMAN, WILLIAMS, ANDERSON & OLSON JTW:de Enclosure cc: T. A. Briody - w/o encl. A. Tamoshunas - w/encl. L. Etlinger - w/encl. <

T. W. Anderson - w/o encl.

PILLSBURY, MADISON & SUTRO 1 Robert P. Taylor 225 Bush Street Mailing Address: P.O. Box 7880 San Francisco, CA 94120 4 Telephone: (415) 983-1000 5 NEUMAN, WILLIAMS, ANDERSON & OLSON Theodore W. Anderson 6 James T. Williams 77 West Washington Street 7 Chicago, IL 60602 Telephone: (312) 346-1200 8 Attorneys for Plaintiffs 9 The Magnavox Company and Sanders Associates, Inc. 10 11 United States District Court For The Northern District Of California 12 13 THE MAGNAVOX COMPANY, a corporation, and SANDERS ASSOCIATES, INC., 14 a corporation, No. C 82 5270 JPV 15 Plaintiffs, PLAINTIFFS' SECOND SUPPLEMENTAL RESPONSE (TO 16 V. TO DEFENDANT'S INTERROGATORIES 17 ACTIVISION, INC., a corporation, 18 Defendant. 19 20 Plaintiffs herewith supplement their responses to 21 defendant's interrogatories 33, 35, 37, 77, 78, 104, 109-112, 128, 22 129, 138-152 and 154. 23 24 INTERROGATORY NO. 33 25 If the answer to INTERROGATORY NO. 32 is other than an 26 unqualified negative, identify each such study, including: 27 28 PLAINTIFFS' SECOND SUPPLEMENTAL RESPONSE TO

DEFENDANT'S INTERROGATORIES

- 2. Memorandum; May 19, 1977; telephone message of Louis Etlinger to James T. Williams; re proposed reissue application; attorney client privilege and attorney work product.
- 3. Letter; June 3, 1977; James T. Williams to Louis Etlinger with carbon copies indicated to Thomas A. Briody, William J. Streeter, Jack Oisher, and Theodore W. Anderson; re proposed reissue application; attorney-client privilege and attorney work product.
- 4. Letter; June 6, 1977; James T. Williams to Louis
 Etlinger with carbon copies indicated to Thomas A. Briody, William
 J. Streeter, Jack Oisher and Theodore W. Anderson; re proposed
 reissue application; attorney-client privilege and attorney work
 product.
- 5. Letter; June 15, 1977; James T. Williams to Louis Etlinger with carbon copies indicated to Thomas A. Briody, Jack Oisher, William J. Streeter and Theodore W. Anderson; re proposed reissue application and references telephone conversation between Messrs. Etlinger and Williams on June 14, 1977; attorney-client privilege and attorney work product.
- 6. Meeting notes; May 6, 1977; notes of James T. Williams of meeting also attended by Thomas A. Briody, William J. Streeter, Louis Etlinger and Theodore W. Anderson; re proposed reissue application; attorney-client privilege and attorney work product.

7. Telephone conference notes; May 11, 1977; note of James T. Williams of telephone conference with Thomas F. Rooney of Bacon and Thomas; re U. S. patent 3,135,815; attorney-client privilege and attorney work product.

- 8. Meeting agenda; May 12, 1977; agenda prepared for meeting of plaintiffs' counsel including Thomas A. Briody, William J. Streeter, Louis Etlinger, Richard I. Seligman, Theodore W. Anderson and D. Dennis Allegretti; re Spiegel patent reference; attorney-client privilege and attorney work product.
- 9. Handwritten working notes; undated but believed to have been prepared by James T. Williams between April 1, 1977 and June 1, 1977; re U.S. patent 3,135,815; attorney work product.
- 10. Marked-up copies of U.S. patent 3,728,480; undated but believed to have been prepared by James T. Williams between April 1, 1977 and June 1, 1977; attorney work product.
- 11. Marked-up copies of drawing figures from Spiegel

 German patent; undated but believed to have been prepared by James

 T. Williams between April 1, 1977 and June 1, 1977; attorney work

 product.
- 12. Draft patent claims; undated but believed to have been prepared by James T. Williams between April 1, 1977 and June 1, 1977; attorney-client privilege and attorney work product.
- 13. Draft patent claims; undated but believed to have been prepared by James T. Williams between April 1, 1977 and June 1, 1977; attorney-client privilege and attorney work product.

14. Draft patent claims; undated but believed to have been prepared by Richard I. Seligman between April 1, 1977 and June 1, 1977; attorney work product and attorney-client privilege.

- 15. Multiple sets of draft patent claims; undated but believed to have been prepared and/or revised by Richard I. Seligman and James T. Williams between April 1, 1977 and June 27, 1977; attorney work product and attorney-client privilege.
- Meeting notes; May 18, 1977; notes of Richard I. 16. Seligman of meeting also attended by Louis Etlinger and Robert Cesari; re proposed reissue application; attorney work product and attorney-client privilege.
- 17. Handwritten work notes; May 17, 1977; prepared by Richard I. Seligman; re proposed reissue application; attorney work product and attorney-client privilege.
- 18. Four pages of handwritten work notes; undated but believed to have been prepared by Richard I. Seligman between April 1, 1977 and June 27, 1977; re proposed reissue application; attorney work product and attorney-client privilege.
- 19. Memorandum; May 2, 1977; Richard I. Seligman to Ralph H. Baer; re Spiegel U.S. and German patents; attorney-client communciations.
- 20. Other telephone conferences between plaintiffs' counsel relating to the study may have occurred.

INTERROGATORY NO. 35

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If the answer to INTERROGATORY NO. 34 is other than an unqualified negative, for each claim thought to be invalid or unenforceable:

- A. Identify the claim and the patent in which the claim is found;
- B. Set forth in detail the reason why the claim is or was thought to be invalid or unenforceable;
- C. Set forth the circumstances under which the claim was determined to be invalid or unenforceable;
- D. Describe any action taken with respect to the claim once it was determined to be invalid or unenforceable;
- E. Identify all persons having knowledge of the subject matter of parts A through D of this interrogatory;
- F. Identify all communications relating to the subject matter of parts A through E of this interrogatory; and
- G. Identify all documents which refer or relate in any way to the subject matter of parts A through F of this interrogatory.

1 RESPONSE: 2 F. and G. See the response to interrogatory 33. 3 4 INTERROGATORY NO. 37 5 If the answer to INTERROGATORY NO. 36 is other than an 6 unqualified negative, identify each suggestion of invalidity or 7 unenforceability, including the following: 8 A. Identify the claim(s) suggested to be invalid or 9 unenforceable; 10 В. Identify the person(s) suggesting that the claim 11 was invalid or unenforceable; 12 C. Set forth in detail the grounds upon which the 13 claim was said to be invalid or unenforceable; 14 Which of the grounds identified in response to part D. 15 C of this interrogatory were or are of the greatest 16 concern; State why the grounds identified in response to 17 E. part D of this interrogatory are of the greatest 18 concern; 19 Describe in detail the circumstances under which F. 20 the suggestion of invalidity or unenforceability 21 was made; 22 Describe in detail any action taken by Magnavox or G. 23 Sanders in connection with or as a result of the 24 suggestion or invalidity or unenforceability;

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H. Identify all persons having knowledge of the subject matter of parts A through G of this interrogatory;

- I. Identify all person having knowledge of the subject matter of parts A through H of this interrogatory; and
- J. Identify all documents which refer or relate in any way to the subject matter of parts A through I of this interrogatory.

RESPONSE:

Interrogatory 37 has been limited by defendants to U.S. Patents 3,728,480, 3,659,284, and Re. 28,507.

Various parties to the prior civil actions relating to U.S. patents 3,728,480, 3,659,284, and Re. 28.507 have set forth their contentions relating to validity or enforceability of the claims of those patents in the papers filed in those actions.

Copies of the papers filed by those parties and presently believed by plaintiffs to set forth such contentions are attached to these interrogatory responses. Those papers state the information requested in paragraphs A-C of this interrogatory as to such charges. The action taken by Magnavox and Sanders as a result of the contentions contained therein included the continued prosecution of the civil actions and defense of the patents to

trial or settlement. Plaintiffs' counsel are the individuals associated with plaintiffs having the greatest knowledge of the subject matter of this interrogatory.

An additional assertion of invalidity of claims of U.S. patents 3,728,480 and Re. 28,507 was made by RCA Corporation on the basis of a computer demonstration of a pool game during negotiations between RCA Corporation and Magnavox. Magnavox continued to pursue its infringement charge against RCA Corporation and eventually settled the matter. The computer pool demonstration was disclosed by Magnavox to the defendants in the action for infringement of U.S. patent Re. 28,507 then pending in the Northern District of Illinois, No. 74 C 1030. Thomas A. Briody and William J. Streeter are the individuals associated with either plaintiff having the greatest knowledge of the assertion by RCA Corporation.

INTERROGATORY NO. 77

Identify all documents in the possession, custody or control of Magnavox and/or Sanders which refer or relate in any manner to the references and prior art identified in INTERROGATORY NO. 74.

RESPONSE:

Interrogatory 77 has been limited by defendants to documents reflecting searches, opinions, discussions or evaluations of the references referred to as prior art.

Plaintiffs are presently unaware of any such documents other than those identified in plaintiffs response to interrogatory 33 and the decisions in The Magnavox Co. v. Chicago Dynamic Industries, 201 U.S.P.Q. 25 (N.D.III. 1977) and The Magnavox Co. v. Mattel, Inc., 216 U.S.P.Q. 28 (N.D.III. 1982).

INTERROGATORY NO. 78

Identify all persons employed by either Sanders or Magnavox who have knowledge of any of the references or other prior art identified in Interrogatory 74.

RESPONSE:

Interrogatory 78 has been limited by defendant to knowledge of the inventors of U.S. patents 3,728,480, 3,659,284, and Re. 28,507, the attorneys or agents who prepared or prosecuted the applications for those patents, and other individuals substantially involved in the preparation or prosecution of the application for those patents who were associated with the inventor, the assignee, or with anyone to whom there was an obligation to assign the application. Louis Etlinger, Richard I. Seligman, Ralph H. Baer, William T. Rusch, Theodore W. Anderson and James T. Williams, all have some knowledge of one or more of the references or other purported prior art identified in interrogatory 74.

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INTERROGATORY NO. 104

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If the answer to INTERROGATORY NO. 103 is other than an unqualified negative, identify each such indication including:

- A. The date of the indication;
- B. The nature of the indication;
- C. Identification of the Examiner who made the indication;
- D. Identification of the person(s) to whom the indication was made;
- E. The full substance of the indication;
- F. Identify all persons having knowledge of the subject matter of parts A through E of this interrogatory;
- G. Identify all communications relating to the subject matter of parts A through F of this interrogatory; and
- H. Identify all documents which refer or relate in any way to the subject matter of parts A through G of this interrogatory.

21 RESPONSE:

- F.(a) File history indication: no response required.
- (b) Equipment demonstration: Examiner Trafton, Richard I. Seligman, Ralph Baer and Louis Etlinger.

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PLAINTIFFS' SECOND SUPPLEMENTAL RESPONSE TO DEFENDANT'S INTERROGATORIES

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RESPONSE:

No specific disclosure of Mr. Williams' personal recollection was made. The "Spacewar" demonstration was disclosed to the Patent and Trademark Office by Sanders Associates in connection with the application for reissue of U.S. patents 3,728,480 and 3,829,095.

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INTERROGATORY NO. 112

If the answer to INTERROGATORY NO. 111 is other than an unqualified negative, identify each such disclosure, including:

- Identification of the person(s) making the A. disclosure;
- B. Identification of the person(s) in the Patent Office to whom the disclosure was made;
- The relationship, if any, to Magnavox and/or C. Sanders of each person identified in response to part B of this interrogatory;
- D. The date of the disclosure;
- The manner in which the disclosure was made; E.
- Identify all persons having knowledge of the F. subject matter of parts A through F of this interrogatory; and
- Identify all documents which refer or relate in any G. way to the subject matter of parts A through G of this interrogatory.

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RESPONSE:

No response required.

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INTERROGATORY NO. 128

16 A. A baseball game;

Apparatus for playing a baseball type game; 17 В.

> C. A hit spot;

> > D. Means for displaying a hit spot;

correspond to the following elements of the claim:

A hitting spot; E.

- Means for displaying a hitting spot; F.
- G. An adjustment in the vertical position of said hitting spot;
- Means for adjusting the vertical position of said Η. hitting spot;

For each combination of the games identified in response

to Interrogatory No. 38 of Defendant's First Set of Interroga-

and "Ice Hockey") and the consoles identified in response to

tories to Plaintiffs (namely, "Fishing Derby", "Boxing", "Tennis"

Interrogatory No. 50 of Defendant's First Set Of Interrogatories

To Plaintiffs (namely, the Atari VCS Model 2600, the Sears Tele-

Game Video Arcade, and the combination of the Colecovision game

Patent Re. 28,507, identify the elements which plaintiffs contend

console and the Expansion Module 1) which plaintiffs contend

constitutes an infringement of Claim 44 of the United States

A serving of the hit spot; I.

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- J. Means for serving said hit spot;
- K. A variation in the vertical position of the hit spot;
- L. Means for varying the vertical position of said hit spot;
- M. Coincidence between said hit and said hitting spot;
- N. A reversal of directions by the hit spot; and
- O. Means for denoting coincidence between said hit and said hitting spots whereby said hit spot will reverse directions.

RESPONSE:

Based upon the information presently available to plaintiffs concerning the television game cartridges manufactured, used, and/or sold by Activision, and the television game consoles with which those cartridges are used, and as presently advised, plaintiffs do not contend that any of the combinations referred to in this interrogatory constitutes an infringement of claim 44 of U.S. patent Re. 28,507

INTERROGATORY NO. 129

For each combination of the games identified in response to Interrogatory No. 38 of Defendant's First Set of Interrogatories to Plaintiffs (namely, "Fishing Derby", "Boxing", "Tennis" and "Ice Hockey") and the consoles identified in response to Interrogatory No. 50 of Defendant's First Set Of Interrogatories

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No response required in view of the response to interrogatory 138.

States Letters Patent Re. 28,507.

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way to the subject matter of this interrogatory, including

all documents which support the contention that the portion

of the subject matter is not prior art with regard to United

INTERROGATORY NO. 140

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With regard to the invention of means for denoting coincidence when a dot generated by one dot generator is located in the same position on a television screen as a dot generated by another dot generator, as claimed in Claim 13 of U.S. Patent 3,728,480:

- A. What is the earliest date for each of the following:
 - (1) Conception;
 - (2) Actual reduction to practice; and
 - (3) Diligence toward reduction to practice;
- B. Describe in detail the events which constitute the conception, reduction to practice and diligence on which the dates set forth in response to Parts A(1)-A(3) of this interrogatory are based;
- C. Identify all persons who participated in each of the events described in response to Part B of this interrogatory, including the role of each such person;
- D. Identify the first person(s) to suggest the invention, state the date the invention was first suggested, and identify the person(s) to whom the invention was suggested;
- E. Identify all persons to whom the invention was disclosed prior to May 27, 1969 and the date and place of each such disclosure;

1 generator is located in the same position on a television screen as a dot generated by another dot generator are a page of 3 handwritten notes dated May 23, 1967 (Sanders Deposition Exhibit 4 23, page 23) and prepared by William Harrison under the direction 5 and at the suggestion of Ralph H. Baer, and laboratory notebook 6 entries dated May 24, 1967 (Sanders Deposition Exhibit 16, pages 7 44 and 45) made by William Harrison under the direction and at the 8 suggestion of Ralph H. Baer. Additional drawings showing such 9 circuitry and references to such circuitry are dated June 14, 1967 10 (Sanders Deposition Exhibit 23, page 81) July 18, 1967, (Sanders 11 Deposition Exhibit 16, page 78) September 12, 1967 (Sanders 12 Deposition Exhibit 16, page 89, Sanders Deposition Exhibit 9, 13 pages 89 and 90), each of which was prepared by William Harrison 14 under the direction and at the suggestion of Ralph H. Baer. 15 suggestion for such circuitry was made by Ralph H. Baer in approximately May 1967. Apparatus including such circuitry 16 (Sanders Deposition Exhibit 28) was first constructed during the 17 period May - June 1967. 18

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INTERROGATORY NO. 141

With regard to the invention of means for ascertaining coincidence between a hitting symbol and a hit symbol as claimed in Claim 25 of United States Letters Patent Re. 28,507:

- A. What is the earliest date for each of the following:
 - (1) Conception;

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- (3) Diligence toward reduction to practice;
- Describe in detail the events which constitute the conception, reduction to practice and diligence on which the dates set forth in response to Parts A(1)-A(3) of this interrogatory are based;
- Identify all persons who participated in each of the events described in response to Part B of this interrogatory, including the role of each such
- Identify the first person(s) to suggest the invention, state the date the invention was first suggested, and identify the person(s) to whom the
- Identify all persons to whom the invention was disclosed prior to May 27, 1969 and the date and
- Identify all persons who had knowledge of the invention prior to May 27, 1969 and the date each such person learned of the invention;
- Identify all prototypes, laboratory models, breadboard circuits and other physical embodiments of the invention made prior to May 27, 1969, including

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RESPONSE:

The earliest written record relating to the work done on television games by employees of plaintiff Sanders Associates of which plaintiffs are presently aware that shows or refers to any means for ascertaining coincidence between a hitting symbol and a hit symbol are a memorandum dated May 10, 1967 to R. Baer from W. Rusch (Sanders Deposition Exhibit 9, pages 44-50), laboratory notebook entries dated September 25, 1967 through January, 1968 (Sanders Deposition Exhibits 17-19) made by William T. Rusch, and pages of handwritten notes and drawings dated in October, 1967 through January, 1968 and prepared by William Harrison at the suggestion of William T. Rusch. Additional drawings showing such circuitry are dated December 22, 1967 (Sanders Deposition Exhibit 23, pages 160-163) and were prepared by William Harrison at the

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The person(s) who constructed each;

All persons having access to each prior to May

The present location and condition of each.

Identify all persons not otherwise identified in

response to this interrogatory who have knowledge

of the subject matter of any of Parts A through G

matter of which each such person has knowledge; and

Identify all documents which refer or relate in any

of this interrogatory, and indicate the subject

way to the subject matter of this interrogatory.

- D. Identify the first person(s) to suggest the invention, state the date the invention was first suggested, and identify the person(s) to whom the invention was suggested;
- E. Identify all persons to whom the invention was disclosed prior to May 27, 1969 and the date and place of each such disclosure;
- F. Identify all persons who had knowledge of the invention prior to May 27, 1969 and the date each such person learned of the invention;
- G. Identify all prototypes, laboratory models, breadboard circuits and other physical embodiments of the invention made prior to May 27, 1969, including the following:
 - (1) A concise description of each;
 - (2) The date(s) each was made;
 - (3) The person(s) who constructed each;
 - (4) All persons having access to each prior to May 27, 1969; and
 - (5) The present location and condition of each.
- H. Identify all persons not otherwise identified in response to this interrogatory who have knowledge of the subject matter of any of Parts A through G of this interrogatory, and indicate the subject matter of which each such person has knowledge; and

I. Identify all documents which refer or relate in any way to the subject matter of this interrogatory.

The earliest written record relating to the work done on

television games by employees of plaintiff Sanders Associates of

which plaintiffs are presently aware that shows or refers to any

coincidence are a memorandum dated May 10, 1967 to R. Baer from W.

means for imparting a distinct motion to the hit symbol upon

Rusch (Sanders Deposition Exhibit 9, pages 44-50), laboratory

methods entries dated September 25, 1967 through January, 1968

pages of handwritten notes and drawings dated in October, 1967

through January, 1968 and prepared by William Harrison at the

(Sanders Deposition Exhibits 17-19) made by William T. Rusch, and

suggestion of William T. Rusch. Additional drawings showing such

circuitry are dated December 22, 1967 (Sanders Deposition Exhibit

suggestion of William T. Rusch. The suggestion for such circuitry

Apparatus including such circuitry (Sanders Deposition Exhibit 30)

was first constructed during the period October - December 1967;

23, pages 160-163) and were prepared by William Harrison at the

was made by William T. Rusch in approximately May, 1967.

other apparatus, including such circuitry was constructed

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RESPONSE:

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subsequently.

INTERROGATORY NO. 143

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PLAINTIFFS' SECOND SUPPLEMENTAL RESPONSE TO DEFENDANT'S INTERROGATORIES G. Identify all prototypes, laboratory models, breadboard circuits and other physical embodiments of the invention made prior to May 27, 1969, including the following:

- (1) A concise description of each;
- (2) The date(s) each was made;
- (3) The person(s) who constructed each;
- (4) All persons having access to each prior to May 27, 1969; and
- (5) The present location and condition of each.
- H. Identify all persons not otherwise identified in response to this interrogatory who have knowledge of the subject matter of any of Parts A through G of this interrogatory, and indicate the subject matter of which each such person has knowledge; and
- I. Identify all documents which refer or relate in any way to the subject matter of this interrogatory.

RESPONSE:

The earliest written record relating to the work done on television games by employees of plaintiff Sanders Associates of which plaintiffs are presently aware that shows or refers to any means for denoting coincidence between hit and hitting spots are a memorandum dated May 10, 1967 to R. Baer from W. Rusch (Sanders Deposition Exhibit 9, pages 44-50), laboratory methods entries dated September 25, 1967 through January, 1968 (Sanders Deposition

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Exhibits 17-19) made by William T. Rusch, and pages of handwritten 1 2 notes and drawings dated in October, 1967 through January, 1968 3 and prepared by William Harrison at the suggestion of William T. 4 Rusch. Additional drawings showing such circuitry are dated December 22, 1967 (Sanders Deposition Exhibit 23, pages 160-163) 6 and were prepared by William Harrison at the suggestion of William 7 T. Rusch. The suggestion for such circuitry was made by William T. Rusch in approximately May, 1967. Apparatus including such circuitry (Sanders Deposition Exhibit 30) was first constructed 10 during the period October - December 1967; other apparatus, 11 including such circuitry was constructed subsequently. 12 13 INTERROGATORY NO. 144 14 With regard to the invention of the concept of the hit

With regard to the invention of the concept of the hit spot reversing direction, as claimed in Claim 44 of United States Letters Patent Re. 28,507:

- A. What is the earliest date for each of the following:
 - (1) Conception;
 - (2) Actual reduction to practice; and
 - (3) Diligence toward reduction to practice;
- B. Describe in detail the events which constitute the conception, reduction to practice and diligence on which the dates set forth in response to Parts A(1)-A(3) of this interrogatory are based;

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C. Identify all persons who participated in each of the events described in response to Part B of this interrogatory, including the role of each such person;

- D. Identify the first person(s) to suggest the invention, state the date the invention was first suggested, and identify the person(s) to whom the invention was suggested;
- E. Identify all persons to whom the invention was disclosed prior to may 27, 1969 and the date and place of each such disclosure;
- F. Identify all persons who had knowledge of the invention prior to May 27, 1969 and the date each such person learned of the invention;
- G. Identify all prototypes, laboratory models, breadboard circuits and other physical embodiments of the invention made prior to May 27, 1969, including the following:
 - (1) A concise description of each;
 - (2) The date(s) each was made;
 - (3) The person(s) who constructed each;
 - (4) All persons having access to each prior to May 27, 1969; and
 - (5) The present location and condition of each.

H. Identify all persons not otherwise identified in response to this interrogatory who have knowledge of the subject matter of any of Parts A through G of this interrogatory, and indicate the subject matter of which each such person has knowledge; and

I. Identify all documents which refer or relate in any way to the subject matter of this interrogatory.

RESPONSE:

The earliest written record relating to the work done on television games by employees of plaintiff Sanders Associates of which plaintiffs are presently aware that shows or refers to any means for reversing the direction of a hit spot are a memorandum dated May 10, 1967 to R. Baer from W. Rusch (Sanders Deposition Exhibit 9, pages 44-50), laboratory methods entries dated September 25, 1967 through January, 1968 (Sanders Deposition Exhibits 17-19) made by William T. Rusch, and pages of handwritten notes and drawings dated in October, 1967 through January, 1968 and prepared by William Harrison at the suggestion of William T. Rusch. Additional drawings showing such circuitry are dated December 22, 1967 (Sanders Deposition Exhibit 23, pages 160-163) and were prepared by William Harrison at the suggestion of William T. Rusch. The suggestion for such circuitry was made by William T. Rusch in approximately May, 1967. Apparatus including such

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circuitry (Sanders Deposition Exhibit 30) was first constructed during the period October - December 1967; other apparatus, including such circuitry was constructed subsequently.

INTERROGATORY NO. 145

With regard to the invention of means for ascertaining coincidence between either of two hitting spots and a hit spot, as claimed in Claim 45 of United States Letters Patent Re. 28,507:

- A. What is the earliest date for each of the following:
 - (1) Conception;
 - (2) Actual reduction to practice; and
 - (3) Diligence toward reduction to practice;
- B. Describe in detail the events which constitute the conception, reduction to practice and diligence on which the dates set forth in response to Parts A(1)-A(3) of this interrogatory are based;
- C. Identify all persons who participated in each of the events described in response to Part B of this interrogatory, including the role of each such person;
- D. Identify the first person(s) to suggest the invention, state the date the invention was first suggested, and identify the person(s) to whom the invention was suggested;

- E. Identify all persons to whom the invention was disclosed prior to May 27, 1969 and the date and place of each such disclosure;
- F. Identify all persons who had knowledge of the invention prior to May 27, 1969 and the date each such person learned of the invention;
- G. Identify all prototypes, laboratory models, breadboard circuits and other physical embodiments of the invention made prior to May 27, 1969, including the following:
 - (1) A concise description of each;
 - (2) The date(s) each was made;
 - (3) The person(s) who constructed each;
 - (4) All persons having access to each prior to May 27, 1969; and
 - (5) The present location and condition of each.
- H. Identify all persons not otherwise identified in response to this interrogatory who have knowledge of the subject matter of any of Parts A through G of this interrogatory, and indicate the subject matter of which each such person has knowledge; and
- I. Identify all documents which refer or relate in any way to the subject matter of this interrogatory.

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The earliest written record relating to the work done on television games by employees of plaintiff Sanders Associates of which plaintiffs are presently aware that shows or refers to any means for ascertaining coincidence between either of two hitting spots and a hit spot are a memorandum dated May 10, 1967 to R. Baer from W. Rusch (Sanders Deposition Exhibit 9, pages 44-50), laboratory methods entries dated September 25, 1967 through January, 1968 (Sanders Deposition Exhibits 17-19) made by William T. Rusch, and pages of handwritten notes and drawings dated in October, 1967 through January, 1968 and prepared by William Harrison at the suggestion of William T. Rusch. Additional drawings showing such circuitry are dated December 22, 1967 (Sanders Deposition Exhibit 23, pages 160-163) and were prepared by William Harrison at the suggestion of William T. Rusch. suggestion for such circuitry was made by William T. Rusch in approximately May, 1967. Apparatus including such circuitry (Sanders Deposition Exhibit 30) was first constructed during the period October - December 1967; other apparatus, including such circuitry was constructed subsequently.

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INTERROGATORY NO. 146

With regard to the invention of means for imparting a distinct motion to a hit spot upon coincidence with one of two hitting spots, as claimed in Claim 45 of United States Letters Patent Re. 28,507:

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- A. What is the earliest date for each of the following:
 - (1) Conception;
 - (2) Actual reduction to practice; and
 - (3) Diligence toward reduction to practice;
- B. Describe in detail the events which constitute the conception, reduction to practice and diligence on which the dates set forth in response to Parts A(1)-A(3) of this interrogatory are based;
- C. Identify all persons who participated in each of the events described in response to Part B of this interrogatory, including the role of each such person;
- D. Identify the first person(s) to suggest the invention, state the date the invention was first suggested, and identify the person(s) to whom the invention was suggested;
- E. Identify all persons to whom the invention was disclosed prior to May 27, 1969 and the date and place of each such disclosure;
- F. Identify all persons who had knowledge of the invention prior to May 27, 1969 and the date each such person learned of the invention;

G.	Identify all prototypes, laboratory models, bread-
	board circuits and other physical embodiments of
	the invention made prior to May 27, 1969, including
	the following:

- (1) A concise description of each;
- (2) The date(s) each was made;
- (3) The person(s) who constructed each;
- (4) All persons having access to each prior to May 27, 1969; and
- (5) The present location and condition of each.
- H. Identify all persons not otherwise identified in response to this interrogatory who have knowledge of the subject matter of any of Parts A through G of this interrogatory, and indicate the subject matter of which each such person has knowledge; and
- I. Identify all documents which refer or relate in any way to the subject matter of this interrogatory.

The earliest written record relating to the work done on television games by employees of plaintiff Sanders Associates of which plaintiffs are presently aware that shows or refers to any means for imparting a distinct motion to a hit spot upon coincidence with one of two hitting spots are a memorandum dated May 10, 1967 to R. Baer from W. Rusch (Sanders Deposition Exhibit 9, pages 44-50), laboratory methods entries dated September 25,

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1967 through January, 1968 (Sanders Deposition Exhibits 17-19) 1 made by William T. Rusch, and pages of handwritten notes and 2 3 drawings dated in October, 1967 through January, 1968 and prepared 4 by William Harrison at the suggestion of William T. Rusch. 5 Additional drawings showing such circuitry are dated December 22, 6 1967 (Sanders Deposition Exhibit 23, pages 160-163) and were 7 prepared by William Harrison at the suggestion of William T. 8 Rusch. The suggestion for such circuitry was made by William T. 9 Rusch in approximately May, 1967. Apparatus including such 10 circuitry (Sanders Deposition Exhibit 30) was first constructed 77 during the period October - December 1967; other apparatus, 12 including such circuitry was constructed subsequently. 13 INTERROGATORY NO. 147 With regard to the invention of means for ascertaining 14 coincidence between a hitting symbol and a hit symbol, as claimed 15 in Claim 51 of United States Letters Patent Re. 28,507: 16 What is the earliest date for each of the 17 Α. following: 18 Conception; 19 (2) Actual reduction to practice; and 20 21

- (3) Diligence toward reduction to practice;
- Describe in detail the events which constitute the В. conception, reduction to practice and diligence on which the dates set forth in response to Parts A(1)-A(3) of this interrogatory are based;

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- C. Identify all persons who participated in each of the events described in response to Part B of this interrogatory, including the role of each such person;
- D. Identify the first person(s) to suggest the invention, state the date the invention was first suggested, and identify the person(s) to whom the invention was suggested;
- E. Identify all persons to whom the invention was disclosed prior to May 27, 1969 and the date and place of each such disclosure;
- F. Identify all persons who had knowledge of the invention prior to May 27, 1969 and the date each such person learned of the invention;
- G. Identify all prototypes, laboratory models, breadboard circuits and other physical embodiments of the invention made prior to May 27, 1969, including the following:
 - (1) A concise description of each;
 - (2) The date(s) each was made;
 - (3) The person(s) who constructed each;
 - (4) All persons having access to each prior to May 27, 1969; and
 - (5) The present location and condition of each.

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- H. Identify all persons not otherwise identified in response to this interrogatory who have knowledge of the subject matter of any of Parts A through G of this interrogatory, and indicate the subject matter of which each such person has knowledge; and
- I. Identify all documents which refer or relate in any way to the subject matter of this interrogatory.

RESPONSE:

The earliest written record relating to the work done on television games by employees of plaintiff Sanders Associates of which plaintiffs are presently aware that shows or refers to any means for ascertaining coincidence between a hitting symbol and a hit symbol are a memorandum dated May 10, 1967 to R. Baer from W. Rusch (Sanders Deposition Exhibit 9, pages 44-50), laboratory methods entries dated September 25, 1967 through January, 1968 (Sanders Deposition Exhibits 17-19) made by William T. Rusch, and pages of handwritten notes and drawings dated in October, 1967 through January, 1968 and prepared by William Harrison at the suggestion of William T. Rusch. Additional drawings showing such circuitry are dated December 22, 1967 (Sanders Deposition Exhibit 23, pages 160-163) and were prepared by William Harrison at the suggestion of William T. Rusch. The suggestion for such circuitry was made by William T. Rusch in approximately May, 1967. Apparatus including such circuitry (Sanders Deposition Exhibit 30)

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- E. Identify all persons to whom the invention was disclosed prior to May 27, 1969 and the date and place of each such disclosure;
- F. Identify all persons who had knowledge of the invention prior to May 27, 1969 and the date each such person learned of the invention;
- G. Identify all prototypes, laboratory models, breadboard circuits and other physical embodiments of the invention made prior to May 27, 1969, including the following:
 - A concise description of each;
 - (2) The date(s) each was made;
 - (3) The person(s) who constructed each;
 - (4) All persons having access to each prior to May 27, 1969; and
 - (5) The present location and condition of each.
- H. Identify all persons not otherwise identified in response to this interrogatory who have knowledge of the subject matter of any of Parts A through G of this interrogatory, and indicate the subject matter of which each such person has knowledge; and
- I. Identify all documents which refer or relate in any way to the subject matter of this interrogatory.

The earliest written record relating to the work done on
television games by employees of plaintiff Sanders Associates of
which plaintiffs are presently aware that shows or refers to any
means for imparting a distinct motion to the hit symbol upon
coincidence with a hitting symbol are a memorandum dated May 10,
1967 to R. Baer from W. Rusch (Sanders Deposition Exhibit 9, pages
44-50), laboratory methods entries dated September 25, 1967
through January, 1968 (Sanders Deposition Exhibits 17-19) made by
William T. Rusch, and pages of handwritten notes and drawings
dated in October, 1967 through January, 1968 and prepared by
William Harrison at the suggestion of William T. Rusch.
Additional drawings showing such circuitry are dated December 22,
1967 (Sanders Deposition Exhibit 23, pages 160-163) and were
prepared by William Harrison at the suggestion of William T.
Rusch. The suggestion for such circuitry was made by William T.
Rusch in approximately May, 1967. Apparatus including such
circuitry (Sanders Deposition Exhibit 30) was first constructed
during the period October - December 1967; other apparatus,
including such circuitry was constructed subsequently.

INTERROGATORY NO. 149

With regard to the invention of means for determining a first coincidence between first and second symbols, as claimed in Claim 60 of United States Letters Patent Re. 28,507:

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What is the earliest date for each of the

- (2) Actual reduction to practice; and
- (3) Diligence toward reduction to practice;
- Describe in detail the events which constitute the conception, reduction to practice and diligence on which the dates set forth in response to Parts A(1)-A(3) of this interrogatory are based;
- Identify all persons who participated in each of the events described in response to Part B of this interrogatory, including the role of each such
- Identify the first person(s) to suggest the invention, state the date the invention was first suggested, and identify the person(s) to whom the
- Identify all persons to whom the invention was disclosed prior to May 27, 1969 and the date and
- Identify all persons who had knowledge of the invention prior to May 27, 1969 and the date each such person learned of the invention;

- G. Identify all prototypes, laboratory models, breadboard circuits and other physical embodiments of the invention made prior to May 27, 1969, including the following:
 - (1) A concise description of each;
 - (2) The date(s) each was made;
 - (3) The person(s) who constructed each;
 - (4) All persons having access to each prior to May 27, 1969; and
 - (5) The present location and condition of each.
- H. Identify all persons not otherwise identified in response to this interrogatory who have knowledge of the subject matter of any of Parts A through G of this interrogatory, and indicate the subject matter of which each such person has knowledge; and
- I. Identify all documents which refer or relate in any way to the subject matter of this interrogatory.

The earliest written record relating to the work done on television games by employees of plaintiff Sanders Associates of which plaintiffs are presently aware that shows or refers to any means for determining a first coincidence between first and second symbols are a page of handwritten notes dated May 23, 1967 (Sanders Deposition Exhibit 23, page 23) and prepared by William Harrison under the direction and at the suggestion of Ralph H.

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1 Baer, and laboratory notebook entries dated May 24, 1967 (Sanders 2 Deposition Exhibit 16, pages 44 and 45) made by William Harrison 3 under the direction and at the suggestion of Ralph H. Baer. 4 Additional drawings showing such circuitry and references to such circuitry are dated June 14, 1967 (Sanders Deposition Exhibit 23, 5 6 page 81) July 18, 1967, (Sanders Deposition Exhibit 16, page 78) 7 September 12, 1967 (Sanders Deposition Exhibit 16, page 89, 8 Sanders Deposition Exhibit 9, pages 89 and 90), each of which was 9 prepared by William Harrison under the direction and at the 10 suggestion of Ralph H. Baer. The suggestion for such circuitry 11 was made by Ralph H. Baer in approximately May 1967. Apparatus 12 including such circuitry (Sanders Deposition Exhibit 28) was first 13 constructed during the period May - June 1967. 14 15 INTERROGATORY NO. 150 16 With regard to the invention of means for imparting a 17 distinct motion to the second symbol, as claimed in Claim 60 of 18 United States Letters Patent Re. 28,507: What is the earliest date for each of the Α. 19 following: 20 (1) Conception; 21 (2) Actual reduction to practice; and 22

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(3) Diligence toward reduction to practice;

- B. Describe in detail the events which constitute the conception, reduction to practice and diligence on which the dates set forth in response to Parts A(1)-A(3) of this interrogatory are based;
- C. Identify all persons who participated in each of the events described in response to Part B of this interrogatory, including the role of each such person;
- D. Identify the first person(s) to suggest the invention, state the date the invention was first suggested, and identify the person(s) to whom the invention was suggested;
- E. Identify all persons to whom the invention was disclosed prior to May 27, 1969 and the date and place of each such disclosure;
- F. Identify all persons who had knowledge of the invention prior to May 27, 1969 and the date each such person learned of the invention;
- G. Identify all prototypes, laboratory models, breadboard circuits and other physical embodiments of the invention made prior to May 27, 1969, including the following:
 - (1) A concise description of each;
 - (2) The date(s) each was made;
 - (3) The person(s) who constructed each;

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RESPONSE:

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The earliest written record relating to the work done on television games by employees of plaintiff Sanders Associates of which plaintiffs are presently aware that shows or refers to any means for imparting a distinct motion to the second symbol are a memorandum dated May 10, 1967 to R. Baer from W. Rusch (Sanders Deposition Exhibit 9, pages 44-50), laboratory methods entries dated September 25, 1967 through January, 1968 (Sanders Deposition Exhibits 17-19) made by William T. Rusch, and pages of handwritten notes and drawings dated in October, 1967 through January, 1968 and prepared by William Harrison at the suggestion of William T. Rusch. Additional drawings showing such circuitry are dated December 22, 1967 (Sanders Deposition Exhibit 23, pages 160-163) and were prepared by William Harrison at the suggestion of William T. Rusch. The suggestion for such circuitry was made by William T. Rusch. The suggestion for such circuitry was made by William

(4) All persons having access to each prior to May

(5) The present location and condition of each.

Identify all persons not otherwise identified in

response to this interrogatory who have knowledge

of the subject matter of any of Parts A through G

matter of which each such person has knowledge; and

Identify all documents which refer or relate in any

of this interrogatory, and indicate the subject

way to the subject matter of this interrogatory.

27, 1969; and

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- E. Identify all persons to whom the invention was disclosed prior to May 27, 1969 and the date and place of each such disclosure;
- F. Identify all persons who had knowledge of the invention prior to May 27, 1969 and the date each such person learned of the invention;
- G. Identify all prototypes, laboratory models, breadboard circuits and other physical embodiments of the invention made prior to May 27, 1969, including the following:
 - (1) A concise description of each;
 - (2) The date(s) each was made;
 - (3) The person(s) who constructed each;
 - (4) All persons having access to each prior to May 27, 1969; and
 - (5) The present location and condition of each.
- H. Identify all persons not otherwise identified in response to this interrogatory who have knowledge of the subject matter of any of Parts A through G of this interrogatory, and indicate the subject matter of which each such person has knowledge; and
- I. Identify all documents which refer or relate in any way to the subject matter of this interrogatory.

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The earliest written record relating to the work done on television games by employees of plaintiff Sanders Associates of which plaintiffs are presently aware that shows or refers to any means for determining a second coincidence between a third symbol and the second symbol are a memorandum dated May 10, 1967 to R. Baer from W. Rusch (Sanders Deposition Exhibit 9, pages 44-50), laboratory methods entries dated September 25, 1967 through January, 1968 (Sanders Deposition Exhibits 17-19) made by William T. Rusch, and pages of handwritten notes and drawings dated in October, 1967 through January, 1968 and prepared by William Harrison at the suggestion of William T. Rusch. Additional drawings showing such circuitry are dated December 22, 1967 (Sanders Deposition Exhibit 23, pages 160-163) and were prepared by William Harrison at the suggestion of William T. Rusch. suggestion for such circuitry was made by William T. Rusch in approximately May, 1967. Apparatus including such circuitry (Sanders Deposition Exhibit 30) was first constructed during the period October - December 1967; other apparatus, including such circuitry was constructed subsequently.

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INTERROGATORY NO. 152

With regard to the invention of means for imparting a distinct motion to the second symbol in response to the second coincidence, as claimed in Claim 61 of United States Letters

Patent Re. 28,507:

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- G. Identify all prototypes, laboratory models, breadboard circuits and other physical embodiments of the invention made prior to May 27, 1969, including the following:
 - (1) A concise description of each;
 - (2) The date(s) each was made;
 - (3) The person(s) who constructed each;
 - (4) All persons having access to each prior to May 27, 1969; and
 - (5) The present location and condition of each.
- H. Identify all persons not otherwise identified in response to this interrogatory who have knowledge of the subject matter of any of Parts A through G of this interrogatory, and indicate the subject matter of which each such person has knowledge; and
- I. Identify all documents which refer or relate in any way to the subject matter of this interrogatory.

The earliest written record relating to the work done on television games by employees of plaintiff Sanders Associates of which plaintiffs are presently aware that shows or refers to any means for imparting a distinct motion to the second symbol in response to the second coincidence are a memorandum dated May 10, 1967 to R. Baer from W. Rusch (Sanders Deposition Exhibit 9, pages 44-50), laboratory methods entries dated September 25, 1967

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PLAINTIFFS' SECOND SUPPLEMENTAL RESPONSE TO DEFENDANT'S INTERROGATORIES through January, 1968 (Sanders Deposition Exhibits 17-19) made by William T. Rusch, and pages of handwritten notes and drawings dated in October, 1967 through January, 1968 and prepared by William Harrison at the suggestion of William T. Rusch.

Additional drawings showing such circuitry are dated December 22, 1967 (Sanders Deposition Exhibit 23, pages 160-163) and were prepared by William Harrison at the suggestion of William T.

Rusch. The suggestion for such circuitry was made by William T.

Rusch in approximately May, 1967. Apparatus including such circuitry was first constructed during the period October - December 1967; other apparatus, including such circuitry was constructed subsequently.

INTERROGATORY NO. 154

Identify each of the certain games known as "Spacewar" which plaintiffs have acknowledged at Massachusetts Institute of Technology in the early 1960's in response to Part (c) of Interrogatory No. 75 of Defendant's First Set of Interrogatories to Plaintiffs, including the following:

- (a) A description of the game;
- (b) The date(s) when each such game was played;
- (c) State when and under what circumstances Magnavox and/or Sanders first became aware of each such game;
- (d) Identify all personnel of Magnavox and/or Sanders having knowledge of each such game and the date(s) each such person acquired such knowledge; and

(e) Identify all documents in the possession, custody or control of Magnavox and/or Sanders which refer or relate in any way to each such game.

RESPONSE:

Paragraphs (c) - (e) of interrogatory 154 have been limited by defendant to knowledge of the inventors of U.S. Patent 3,728,480, 3,659,284, and Re. 28,507, the attorneys or agents who prepared or prosecuted the applications for those patents, and other individuals substantially involved in the preparation or prosecution of the applications for those patents who were associated with the inventor, the assignee, or with anyone to whom there was an obligation to assign the application.

- (c) The earliest that any of the persons referred to in this interrogatory as limited by defendant obtained knowledge of the different demonstration known as "Spacewar" is presently believed to be during the taking of the deposition referred to in plaintiffs' prior response to interrogatory 154. Plaintiffs or its counsel did receive some information relating to the demonstration known as "Spacewar" prior to the commencement of those depositions. That information was received as follows:
- 1. A book entitled "II Cybernetics Frontiers" was purchased by or for plaintiffs' counsel Theodore W. Anderson and James T. Williams on approximately July 15, 1975. Louis Etlinger and Richard I. Seligman saw at least portions of the book at a later date.

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2. Interrogatory responses of Midway Mfg. Co. in Civil Action No. 74 C 1030 in the Northern District of Illinois received by plaintiffs' counsel Theodore W. Anderson and James T. Williams on approximately July 23, 1975.

- 3. Copies of production documents of Midway Mfg. Co. in said Civil Action No. 74 C 1030 first produced for inspection by plaintiffs' counsel James T. Williams on approximately August 1, 1975.
- 4. Oral and telephone conversations of Messrs. Louis Etlinger, James T. Williams, and John Sauter on approximately October 9, 1975.
- 6. Conference of Messrs. Louis Etlinger, James T. Williams, and personnel of Digital Equipment Corporation on approximately October 17, 1975 and document copies received as a result of that conference.
- (d) See the response to paragraph (c) of this interrogatory.

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1	(e) Paragraph (e) of this interrogatory has been
2	limited by defendant to documents reflecting searches, opinions,
3	discussions or evaluations of the games known as "Spacewar" as
4	prior art. Plaintiffs are presently aware of no such documents.
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7	, 1984 The Magnavox Company
8	The Magnavox Company
9	Subscribed and sworn to before me this day of, 1984, in
10	
11	Notary Public
12	My Commission Expires:
13	, 1984
14	Sanders Associates, Inc.
15	Subscribed and sworn to before me
16	this day of, 1984, in
17	Natara Public
18	Notary Public
19	My Commission Expires:
	The foregoing contentions are asserted or stated on behalf of plaintiffs by:
20	behalf of plaintills by:
21	Theodore W. Anderson
22	James T. Williams
23	NEUMAN, WILLIAMS, ANDERSON & OLSON Attorneys for The Magnavox Company
24	and Sanders Associates, Inc. 77 West Washington Street
25	Chicago, Illinois 60602 (312) 346-1200
26	
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21	-57-